

# **Symmetry constrained relaxation in FHI-aims**

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# Personal background

## Past

- Physics (Hons) degree with a undergraduate thesis on diagonalization of sparse matrices from  $\mathcal{O}(N^3)$  to  $\mathcal{O}(N)$
- Research assistant for 3 years at TIFR India working with small molecules, quasi-1D materials and 3D materials
- Became fluent in Python but stammered with Fortran90
- Machine learning project based on regression problems and generating databases with high-throughput computation techniques

# Personal background

## Present

- PhD student with Dr Lucy Whalley at Northumbria University
  - CDT for Renewable Energy for Northeast Universities (ReNU)
- Overall PhD is focused on chalcogenide materials for energy generation and storage
- First project is modelling the  $\text{BaZrS}_3$  chalcogenide-based perovskite material
  - Thermodynamics of the perovskite and competing phases
  - Verifying synthesis techniques with computed IR and Raman spectra
- Disorder in Mg-based spinel battery materials  $\text{MgM}_2(\text{S,Se})_4$

# Talk overview

- Symmetry constrained relaxation: what it is, how it's done and why it's needed
- FHI-aims routines with AFLOW
- How to save CPU time with geometries in correct symmetries: examples from systems of interest
- Where symmetry constrained relaxation is invalid

# Introduction to FHI-aims

- FHI-aims is a numeric atom-centered orbital code in contrast with VASP which is a pseudopotential based code
- It is open-source and adopts more recent software sustainability practices
- It has fantastic documentation
- A very responsive community through their Slack channel and other forums



# Geometry relaxation

- As a default, in a geometry.in (POSCAR) file,  $3N+9$  coordinates are relaxation parameters for the optimizer. This is unnecessary, unless the system prefers a monoclinic  $P1$  space group
- When one knows the space group of the system, the input can be designed to only include lattice constants and internal parameters of the particular space group
- Internal parameters are defined through Wyckoff positions of the space group and can be predetermined through the International Tables of Crystallography

# AFLOW + FHI-aims

- This method is not very widely used yet, the goal of this presentation is to convince you to try this in your next project
- An interface with AFLOW defines the specific symmetries of the system through parametric equations in the geometry.in file

```
lattice_vector -2.529250000000002 2.529250000000002 3.669600483250000
lattice_vector 2.529250000000002 -2.529250000000002 3.669600483250000
lattice_vector 2.529250000000002 2.529250000000002 -3.669600483250000
atom_frac 0.3750000000000001 0.7121884100000000 0.8371884100000001 0
atom_frac 0.8750000000000000 0.5378115899999999 0.1628115900000001 0
atom_frac 0.2878115900000000 0.1249999999999999 0.6628115899999999 0
atom_frac 0.4621884100000001 0.6249999999999999 0.3371884100000000 0
atom_frac 0.0000000000000000 -0.0000000000000000 0.0000000000000000 Si
atom_frac 0.7500000000000001 0.2499999999999999 0.5000000000000000 Si
```

```
lattice_vector -2.529250000000002 2.529250000000002 3.669600483250000
lattice_vector 2.529250000000002 -2.529250000000002 3.669600483250000
lattice_vector 2.529250000000002 2.529250000000002 -3.669600483250000
atom_frac 0.3750000000000001 0.7121884100000000 0.8371884100000001 0
atom_frac 0.8750000000000000 0.5378115899999999 0.1628115900000001 0
atom_frac 0.2878115900000000 0.1249999999999999 0.6628115899999999 0
atom_frac 0.4621884100000001 0.6249999999999999 0.3371884100000000 0
atom_frac 0.0000000000000000 -0.0000000000000000 0.0000000000000000 Si
atom_frac 0.7500000000000001 0.2499999999999999 0.5000000000000000 Si
symmetry_n_params 3 2 1
symmetry_params a c x2
symmetry_lv -0.5*a, 0.5*a, 0.5*c
symmetry_lv 0.5*a, -0.5*a, 0.5*c
symmetry_lv 0.5*a, 0.5*a, -0.5*c
symmetry_frac 0.375, 0.125 + x2, 0.25 + x2
symmetry_frac 0.875, 1.125 - x2, 0.75 - x2
symmetry_frac 0.875 - x2, 0.125, 1.25 - x2
symmetry_frac -0.125 + x2, 0.625, -0.25 + x2
symmetry_frac 0, 0, 0
symmetry_frac 0.75, 0.25, 0.5
```

# AFLOW + FHI-aims

## How to make an input geometry.in for SiO<sub>2</sub>

### Step 1

Si, O × ICSD only All AFLOW Search (60389 entries) Display

Results per page: 50 Select page: 1 Found 471 entries

ENTRY	space group	Pearson symbol	DATA
<a href="#">O<sub>2</sub>Si [009b39705d1c3115]</a>	<i>P</i> 3 <sub>2</sub> 2 <sub>1</sub> (#154)	hP9	[API, Out, JSON]
<a href="#">O<sub>2</sub>Si [006068d16ebfef92]</a>	<i>Fddd</i> (#70)	oF96	[API, Out, JSON]
<a href="#">O<sub>2</sub>Si [012580c76137acc]</a>	<i>C</i> 222 <sub>1</sub> (#20)	oS24	[API, Out, JSON]
<a href="#">O<sub>2</sub>Si [01b0704ecadf9ec9]</a>	<i>Pa</i> 3̄ (#205)	cP12	[API, Out, JSON]
<a href="#">O<sub>2</sub>Si [02d8b57eeb87de27]</a>	<i>P</i> 3 <sub>2</sub> 2 <sub>1</sub> (#154)	hP9	[API, Out, JSON]
<a href="#">O<sub>2</sub>Si [02980204caec92e8]</a>	<i>C</i> 222 <sub>1</sub> (#20)	oS24	[API, Out, JSON]
<a href="#">O<sub>2</sub>Si [033712fec2d90a1c]</a>	<i>P</i> 3 <sub>2</sub> 2 <sub>1</sub> (#154)	hP9	[API, Out, JSON]
<a href="#">O<sub>2</sub>Si [031ff90831bddc49]</a>	<i>I</i> 2 <sub>1</sub> 3 (#199)	cl72	[API, Out, JSON]
<a href="#">O<sub>2</sub>Si [03186361f1b877d2]</a>	<i>P</i> 4 <sub>3</sub> 2 <sub>1</sub> 2 (#96)	tP36	[API, Out, JSON]
<a href="#">O<sub>2</sub>Si [04fb7862623235b0]</a>	<i>P</i> 3 <sub>1</sub> 2 <sub>1</sub> (#152)	hP9	[API, Out, JSON]
<a href="#">O<sub>2</sub>Si [068eeedb53be0875]</a>	<i>Pa</i> 3̄ (#205)	cP12	[API, Out, JSON]
<a href="#">O<sub>2</sub>Si [068e3294df46f7b4]</a>	<i>R</i> 3̄ (#148)	hR72	[API, Out, JSON]
<a href="#">O<sub>2</sub>Si [088983067b706310]</a>	<i>P</i> 4 <sub>1</sub> 2 <sub>1</sub> 2 (#92)	tP12	[API, Out, JSON]
<a href="#">O<sub>2</sub>Si [0a18cla34f68e2e2]</a>	<i>P</i> 3 <sub>1</sub> 2 <sub>1</sub> (#152)	hP9	[API, Out, JSON]
<b><a href="#">O<sub>2</sub>Si [0d07d3c41cb5da23]</a></b>	<b><i>I</i>4<sub>2</sub>d (#122)</b>	<b>tI12</b>	<b>[API, Out, JSON]</b>
<a href="#">O<sub>2</sub>Si [0e7071de7a46c90a]</a>	<i>P</i> 6 <sub>3</sub> / <i>mmc</i> (#194)	hP12	[API, Out, JSON]
<a href="#">O<sub>2</sub>Si [0ef28cf72e724afe]</a>	<i>P</i> 4 <sub>2</sub> / <i>mnm</i> (#136)	tP6	[API, Out, JSON]



# AFLOW + FHI-aims

## How to make an input geometry.in for SiO<sub>2</sub>

Step 1

Si, O × Search (60389 entries) Display

ICSD only All AFLOW

Results per page: 50 Select page: 1 Found 471 entries

ENTRY	space group	Pearson symbol	DATA
<a href="#">O<sub>2</sub>Si [009b39705d1c3115]</a>	<i>P</i> 3 <sub>2</sub> 21 (#154)	hP9	<a href="#">[API, Out, JSON]</a>
<a href="#">O<sub>2</sub>Si [006068d16ebfef92]</a>	<i>Fddd</i> (#70)	oF96	<a href="#">[API, Out, JSON]</a>
<a href="#">O<sub>2</sub>Si [012580c76137acc]</a>	<i>C</i> 222 <sub>1</sub> (#20)	oS24	<a href="#">[API, Out, JSON]</a>
<a href="#">O<sub>2</sub>Si [01b0704ecadf9ec9]</a>	<i>Pa</i> 3̄ (#205)	cP12	<a href="#">[API, Out, JSON]</a>
<a href="#">O<sub>2</sub>Si [02d8b57eeb87de27]</a>	<i>P</i> 3 <sub>2</sub> 21 (#154)	hP9	<a href="#">[API, Out, JSON]</a>
<a href="#">O<sub>2</sub>Si [02980204caec92e8]</a>	<i>C</i> 222 <sub>1</sub> (#20)	oS24	<a href="#">[API, Out, JSON]</a>
<a href="#">O<sub>2</sub>Si [033712fec2d90a1c]</a>	<i>P</i> 3 <sub>2</sub> 21 (#154)	hP9	<a href="#">[API, Out, JSON]</a>
<a href="#">O<sub>2</sub>Si [031ff90831bddc49]</a>	<i>I</i> 2 <sub>1</sub> 3 (#199)	cl72	<a href="#">[API, Out, JSON]</a>
<a href="#">O<sub>2</sub>Si [03186361f1b877d2]</a>	<i>P</i> 4 <sub>3</sub> 2 <sub>1</sub> 2 (#96)	tP36	<a href="#">[API, Out, JSON]</a>
<a href="#">O<sub>2</sub>Si [04fb7862623235b0]</a>	<i>P</i> 3 <sub>1</sub> 21 (#152)	hP9	<a href="#">[API, Out, JSON]</a>
<a href="#">O<sub>2</sub>Si [068eeedb53be0875]</a>	<i>Pa</i> 3̄ (#205)	cP12	<a href="#">[API, Out, JSON]</a>
<a href="#">O<sub>2</sub>Si [068e3294df46f7b4]</a>	<i>R</i> 3̄ (#148)	hR72	<a href="#">[API, Out, JSON]</a>
<a href="#">O<sub>2</sub>Si [088983067b706310]</a>	<i>P</i> 4 <sub>1</sub> 2 <sub>1</sub> 2 (#92)	tP12	<a href="#">[API, Out, JSON]</a>
<a href="#">O<sub>2</sub>Si [0a18c1a34f68e2e2]</a>	<i>P</i> 3 <sub>1</sub> 21 (#152)	hP9	<a href="#">[API, Out, JSON]</a>
<b><a href="#">O<sub>2</sub>Si [0d07d3c41cb5da23]</a></b>	<b><i>I</i>4<sub>2</sub>d (#122)</b>	<b>tI12</b>	<b><a href="#">[API, Out, JSON]</a></b>
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<a href="#">O<sub>2</sub>Si [0ef28cf72e724afe]</a>	<i>P</i> 4 <sub>2</sub> / <i>mnm</i> (#136)	tP6	<a href="#">[API, Out, JSON]</a>

Step 2

DOWNLOADABLE FILES ▾

ENTRY ▾

afflowlib.out: [\[afflowlib.out\]](#)

afflowlib.json: [\[afflowlib.json\]](#)

RELAXED STRUCTURE ▾

Relaxed positions (VASP): [\[VASP-CONTCAR\]](#)

Relaxed positions (QE): [\[QE-GEOMETRY\]](#)

Relaxed positions (ABINIT): [\[ABINIT-GEOMETRY\]](#)

Relaxed positions (AIMS): [\[AIMS-GEOMETRY\]](#)

Extended crystallographic data for the relaxed structure: [\[edata.relax.out\]](#)

Extended crystallographic data for the band structure: [\[edata.bands.out\]](#)

- Structure Visualization
- Entry
- Relaxed Structure
  - Structure (as calculated)
  - AFLOW Prototype
  - Bravais Lattice of the Crystal
  - Bravais Lattice of the Lattice
  - Point Group of the Crystal
  - Reciprocal Space Lattice
  - Superlattice
- Thermodynamic Properties
- Chemistry
  - Bader charges
- Magnetic Properties
- Scintillation Properties
- Electronic Properties
  - Electronic structure
- Calculation Details
- Downloadable Files
  - Entry
  - Relaxed Structure
  - Bader charges
  - Computational parameters

# AFLOW + FHI-aims

## How to make an input geometry.in for SiO<sub>2</sub>

### Step 3

```
afLOW_prototype_label_orig=A2B_tI12_122_d_a | afLOW_prototype_params_list_orig=a,c/a,x2 | afLOW_prototype_params_values_orig=5.0313,1.440582,0.9138 |
afLOW_prototype_label_relax=A2B_tI12_122_d_b | afLOW_prototype_params_list_relax=a,c/a,x2 | afLOW_prototype_params_values_relax=5.0585,1.4508649,0.58718841 |
```

# AFLOW + FHI-aims

## How to make an input geometry.in for SiO<sub>2</sub>

### Step 3

```
aflow_prototype_label_orig=A2B_tI12_122_d_a | aflow_prototype_params_list_orig=a,c/a,x2 | aflow_prototype_params_values_orig=5.0313,1.440582,0.9138 |  
aflow_prototype_label_relax=A2B_tI12_122_d_b | aflow_prototype_params_list_relax=a,c/a,x2 | aflow_prototype_params_values_relax=5.0585,1.4508649,0.58718841 |
```

### Step 4

```
Prakritis-MacBook-Air:~ prakritikayastha$ aflow --proto=A2B_tI12_122_d_a:0:Si --params=5.0585,1.4508649,0.58718841  
--aims --add_equations  
# OSi/A2B_tI12_122_d_a.AB params=5.0585,1.4508649,0.58718841 SG=122 [ANRL doi: 10.1016/j.commatsci.2017.01.017 (pa  
rt 1), doi: 10.1016/j.commatsci.2018.10.043 (part 2)]  
# AFLOW::AIMS BEGIN  
lattice_vector -2.52925000000000 2.52925000000000 3.66960004832500  
lattice_vector 2.52925000000000 -2.52925000000000 3.66960004832500  
lattice_vector 2.52925000000000 2.52925000000000 -3.66960004832500  
atom_frac 0.375000000000000 0.712188000000000 0.837188000000000 0  
atom_frac 0.875000000000000 0.537812000000000 0.162812000000000 0  
atom_frac 0.287812000000000 0.125000000000000 0.662812000000000 0  
atom_frac 0.462188000000000 0.625000000000000 0.337188000000000 0  
atom_frac 0.000000000000000 0.000000000000000 0.000000000000000 Si  
atom_frac 0.750000000000000 0.250000000000000 0.500000000000000 Si  
# format: symmetry_n_params [n n_lv n_fracpos]  
symmetry_n_params 3 2 1  
symmetry_params a c x2  
symmetry_lv -0.5*a , 0.5*a , 0.5*c  
symmetry_lv 0.5*a , -0.5*a , 0.5*c  
symmetry_lv 0.5*a , 0.5*a , -0.5*c  
symmetry_frac 0.375 , x2+0.125 , x2+0.25  
symmetry_frac 0.875 , -x2+0.125 , -x2+0.75  
symmetry_frac -x2+0.875 , 0.125 , -x2+0.25  
symmetry_frac x2+0.875 , 0.625 , x2+0.75  
symmetry_frac 0 , 0 , 0  
symmetry_frac 0.75 , 0.25 , 0.5  
# AFLOW::AIMS END
```



# AFLOW + FHI-aims (a gotcha!)

- In FHI-aims the Wyckoff positions outside the unit cell are not treated as equivalent sites which can cause geometry relaxations to fail
- For a system with translational symmetry with unit cell 'size' 1,  $[-x,0,0]$  and  $[1-x,0,0]$  are equivalent sites, but they are not to the FHI-aims solver

Atoms and constraints don't match

```
atom_frac 0.24447000000000 0.24447000000000 0.00000000000000 Ba
atom_frac 0.75553000000000 0.75553000000000 0.00000000000000 Ba
atom_frac 0.25553000000000 0.74447000000000 0.50000000000000 Ba
atom_frac 0.74447000000000 0.25553000000000 0.50000000000000 Ba
symmetry_frac x3 , x3 , 0
symmetry_frac -x3 , -x3 , 0
symmetry_frac -x3+0.5 , x3+0.5 , 0.5
symmetry_frac x3+0.5 , -x3+0.5 , 0.5
```

Atoms and constraints match

```
atom_frac 0.24447000000000 0.24447000000000 0.00000000000000 Ba
atom_frac 0.75553000000000 0.75553000000000 0.00000000000000 Ba
atom_frac 0.25553000000000 0.74447000000000 0.50000000000000 Ba
atom_frac 0.74447000000000 0.25553000000000 0.50000000000000 Ba
symmetry_frac x3 , x3 , 0
symmetry_frac 1-x3 , 1-x3 , 0
symmetry_frac -x3+0.5 , x3+0.5 , 0.5
symmetry_frac x3+0.5 , -x3+0.5 , 0.5
```

- FHI-aims needs the positions listed in the file and constraints to point to the same atom



# Anecdote from a few months ago..

## From the FHI-aims Slack channel



**Prakriti Kayastha** 3:27 PM

Hi Tom, thanks for the new [geometry.in](#) file on my question. Perhaps this question is very stupid, my knowledge on Wyckoff sites is not very deep. It seems as though you have replaced the  $-x$ ,  $-y$ ,  $-z$  variables with  $1-x$ ,  $1-y$ ,  $1-z$  in some places but in others you have added 1.0 to them as well?



**Prakriti Kayastha** 3:32 PM

Oh wow, that's a big relief but also I'm a little disappointed because I was very close to finishing this code myself

And I just wanted to check what FHI-aims actually needs

3:39 I didn't know you were also working on this, and I realized that if I wrote a wrapper around AFLOW then it would know the initial parameters from the input, which gets around the issues the code was having earlier



**Tom Purcell** 4:38 PM

No worries, it was not that much time for me. It is also good for me to have the methodology easy to use so people use it more



**Tom Purcell** 5:36 PM

no worries, it is easier for me to spot these errors since I wrote the script

# AFLOW + FHI-aims (a gotcha!)

```
python3 aflow_structure_wrapper.py --proto=A2B_tI12_122_d_a:0:Si --params=5.0585,1.4508649,0.58718841
```

- The wrapper script (*now available* in the utilities directory of FHI-aims) calls the AFLOW within it's routine and ensures the atoms are inside the unit cell
- Note: The wrapper script is based on a symmetry module in ASE, so it should be extensible for every DFT code
- Side note: It is possible that the crystal prototype you are looking for is not present in the AFLOW database such as  $Y_2Ti_2O_5S_2$ . This is how the community moves forward — by asking for what you need

# How a single atom affects symmetry of the system

Manually adding noise to show it's affect on symmetry

Tetrahedral  $\bar{I}42d$  symmetry

lattice_vector	-2.49455040	2.49455040	3.66755776	
lattice_vector	2.49455040	-2.49455040	3.66755776	
lattice_vector	2.49455040	2.49455040	-3.66755776	
atom_frac	0.37500000	1.02953838	1.15453838	0
atom_frac	0.87500000	0.22046162	-0.15453838	0
atom_frac	-0.02953838	0.12500000	0.34546162	0
atom_frac	0.77953838	0.62500000	0.65453838	0
atom_frac	0.00000000	0.00000000	0.00000000	Si
atom_frac	0.75000000	0.25000000	0.50000000	Si

Monoclinic  $P1$  symmetry

lattice_vector	-2.51556912	2.51556912	3.68737330	
lattice_vector	2.51556912	-2.51556912	3.68737330	
lattice_vector	2.51556912	2.51556912	-3.68737330	
atom_frac	0.37500000	1.03312012	1.15812012	0
atom_frac	0.87500000	0.21687988	-0.15812012	0
atom_frac	-0.03312012	0.12500000	0.34187988	0
atom_frac	0.78312012	0.62500000	0.65812012	0
atom_frac	0.00000000	0.00000000	0.00000000	Si
atom_frac	0.75000000	0.25000000	0.51000000	Si



# Reducing relaxation steps (and time)

## A SiO<sub>2</sub> example

- Convergence criteria of 5E-3 eV/Å

Constrained relax (14 steps)

```
grep 'Maximum force component is ' outfile
Maximum force component is 0.195626E+01 eV/Å.
Maximum force component is 0.359697E+01 eV/Å.
Maximum force component is 0.616373E+01 eV/Å.
Maximum force component is 0.199599E+01 eV/Å.
Maximum force component is 0.110042E+01 eV/Å.
Maximum force component is 0.188100E+00 eV/Å.
Maximum force component is 0.147833E+00 eV/Å.
Maximum force component is 0.170031E+00 eV/Å.
Maximum force component is 0.876995E-01 eV/Å.
Maximum force component is 0.974468E-01 eV/Å.
Maximum force component is 0.111949E+00 eV/Å.
Maximum force component is 0.943848E-01 eV/Å.
Maximum force component is 0.415194E-01 eV/Å.
Maximum force component is 0.928476E-02 eV/Å.
Maximum force component is 0.105587E-02 eV/Å.
```

Full relax (40 steps)

```
grep 'Maximum force component is ' outfile
Maximum force component is 0.293440E+01 eV/Å.
Maximum force component is 0.321741E+01 eV/Å.
Maximum force component is 0.541133E+01 eV/Å.
Maximum force component is 0.641221E+01 eV/Å.
Maximum force component is 0.113899E+02 eV/Å.
Maximum force component is 0.652963E+01 eV/Å.
Maximum force component is 0.669594E+01 eV/Å.
Maximum force component is 0.360859E+01 eV/Å.
Maximum force component is 0.163467E+01 eV/Å.
Maximum force component is 0.157145E+01 eV/Å.
Maximum force component is 0.123634E+01 eV/Å.
Maximum force component is 0.547127E+00 eV/Å.
Maximum force component is 0.181153E+01 eV/Å.
Maximum force component is 0.886020E+00 eV/Å.
Maximum force component is 0.109194E+01 eV/Å.
Maximum force component is 0.234305E+01 eV/Å.
Maximum force component is 0.497395E+00 eV/Å.
Maximum force component is 0.101806E+01 eV/Å.
Maximum force component is 0.371355E+00 eV/Å.
Maximum force component is 0.738073E+00 eV/Å.
Maximum force component is 0.274962E+00 eV/Å.
Maximum force component is 0.208805E+00 eV/Å.
Maximum force component is 0.125885E+00 eV/Å.
Maximum force component is 0.767748E+00 eV/Å.
Maximum force component is 0.266856E+00 eV/Å.
Maximum force component is 0.348235E+00 eV/Å.
Maximum force component is 0.133958E+00 eV/Å.
Maximum force component is 0.107640E+00 eV/Å.
Maximum force component is 0.176962E+00 eV/Å.
Maximum force component is 0.766319E+00 eV/Å.
Maximum force component is 0.136109E+00 eV/Å.
Maximum force component is 0.120321E+00 eV/Å.
Maximum force component is 0.126349E+00 eV/Å.
Maximum force component is 0.102609E+00 eV/Å.
Maximum force component is 0.652688E-01 eV/Å.
Maximum force component is 0.288235E-01 eV/Å.
Maximum force component is 0.195064E-01 eV/Å.
Maximum force component is 0.148230E-01 eV/Å.
Maximum force component is 0.836319E-02 eV/Å.
Maximum force component is 0.532721E-02 eV/Å.
Maximum force component is 0.119471E-02 eV/Å.
```



# Relaxed geometries

## Constrained relax vs full relax for SiO<sub>2</sub>

- Recall: default symmetry constraint in spglib is 1E-5 Å

Constrained relax

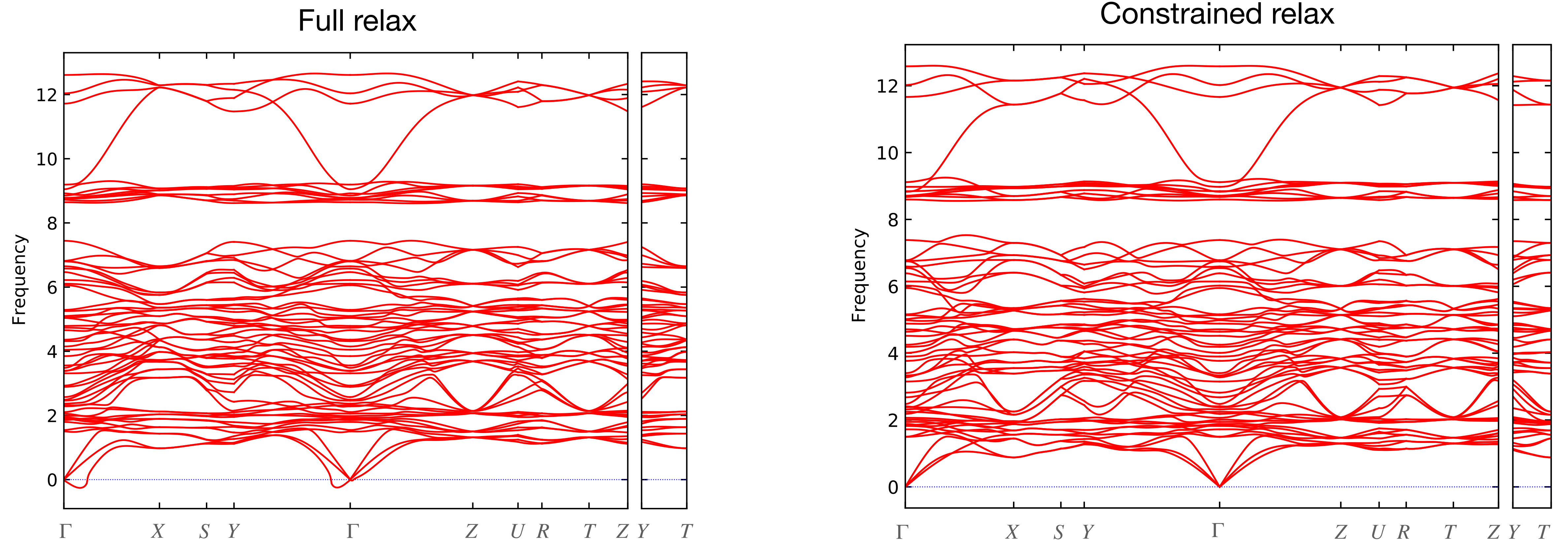
```
lattice_vector  -2.49455040    2.49455040    3.66755776
lattice_vector   2.49455040   -2.49455040    3.66755776
lattice_vector   2.49455040    2.49455040   -3.66755776
atom_frac       0.37500000    1.02953838    1.15453838  0
atom_frac       0.87500000    0.22046162   -0.15453838  0
atom_frac      -0.02953838    0.12500000    0.34546162  0
atom_frac       0.77953838    0.62500000    0.65453838  0
atom_frac       0.00000000    0.00000000    0.00000000  Si
atom_frac       0.75000000    0.25000000    0.50000000  Si
```

Full relax

```
lattice_vector  -2.49217989    2.49639512    3.66875702
lattice_vector   2.49648700   -2.49193006    3.66738771
lattice_vector   2.49226588    2.49225500   -3.67135077
atom_frac       0.37529619    1.02969598    1.15507918  0
atom_frac       0.87550820    0.22077655   -0.15407198  0
atom_frac      -0.02939474    0.12513916    0.34567591  0
atom_frac       0.77980559    0.62521446    0.65481740  0
atom_frac       0.00030066    0.00020939    0.00038296  Si
atom_frac       0.75028585    0.25019365    0.50035111  Si
```

- Not every coordinate needs to be treated as a free parameter
- Often one has to loosen this constraint to obtain the correct space group (not recommended)

# Loss of symmetry affecting the phonon BS



- Example from my research: 2x2x2 supercell calculation on BaZrS<sub>3</sub> perovskite
- Full relax creates unnecessary soft phonon modes which do not appear with constrained relaxation

# Symmetry and no. of lattice displacements

- A system with N atoms has to be evaluated at 6N displacement during phonon calculations

Example: Diamond Si (2 atoms in the basis):

$$\begin{pmatrix} \Phi_{11}^{xx} & \Phi_{11}^{xy} & \Phi_{11}^{xz} & \Phi_{12}^{xx} & \Phi_{12}^{xy} & \Phi_{12}^{xz} \\ \Phi_{11}^{yx} & \Phi_{11}^{yy} & \Phi_{11}^{yz} & \Phi_{12}^{yx} & \Phi_{12}^{yy} & \Phi_{12}^{yz} \\ \Phi_{11}^{zx} & \Phi_{11}^{zy} & \Phi_{11}^{zz} & \Phi_{12}^{zx} & \Phi_{12}^{zy} & \Phi_{12}^{zz} \\ \Phi_{21}^{xx} & \Phi_{21}^{xy} & \Phi_{21}^{xz} & \Phi_{22}^{xx} & \Phi_{22}^{xy} & \Phi_{22}^{xz} \\ \Phi_{21}^{yx} & \Phi_{21}^{yy} & \Phi_{21}^{yz} & \Phi_{22}^{yx} & \Phi_{22}^{yy} & \Phi_{22}^{yz} \\ \Phi_{21}^{zx} & \Phi_{21}^{zy} & \Phi_{21}^{zz} & \Phi_{22}^{zx} & \Phi_{22}^{zy} & \Phi_{22}^{zz} \end{pmatrix}$$

Hessian has **36** entries:  
⇒ 6 displacements **d** required



# Symmetry and no. of lattice displacements

- A system with N atoms has to be evaluated at 6N displacement during phonon calculations

Example: Diamond Si (2 atoms in the basis):

$$\begin{pmatrix}
 \Phi_{11}^{xx} & \Phi_{11}^{xy} & \Phi_{11}^{xz} & \Phi_{12}^{xx} & \Phi_{12}^{xy} & \Phi_{12}^{xz} \\
 \Phi_{11}^{yx} & \Phi_{11}^{yy} & \Phi_{11}^{yz} & \Phi_{12}^{yx} & \Phi_{12}^{yy} & \Phi_{12}^{yz} \\
 \Phi_{21}^{xx} & \Phi_{21}^{xy} & \Phi_{21}^{xz} & \Phi_{22}^{xx} & \Phi_{22}^{xy} & \Phi_{22}^{xz} \\
 \Phi_{21}^{yx} & \Phi_{21}^{yy} & \Phi_{21}^{yz} & \Phi_{22}^{yx} & \Phi_{22}^{yy} & \Phi_{22}^{yz} \\
 \Phi_{21}^{zx} & \Phi_{21}^{zy} & \Phi_{21}^{zz} & \Phi_{22}^{zx} & \Phi_{22}^{zy} & \Phi_{22}^{zz}
 \end{pmatrix}
 \xrightarrow{\text{Space Group Analysis}}
 \begin{pmatrix}
 \Phi_{11}^{xx} & \Phi_{11}^{xy} & \Phi_{11}^{xz} & -\Phi_{11}^{xx} & \Phi_{12}^{xy} & 0 \\
 0 & \Phi_{11}^{xx} & \Phi_{11}^{yz} & \Phi_{11}^{yz} & -\Phi_{11}^{xx} & 0 \\
 0 & \Phi_{12}^{xy} & \Phi_{11}^{xx} & -\Phi_{11}^{xx} & -\Phi_{11}^{xy} & -\Phi_{11}^{xx} \\
 -\Phi_{11}^{xx} & -\Phi_{11}^{xy} & -\Phi_{11}^{xz} & \Phi_{11}^{xx} & -\Phi_{12}^{xy} & 0 \\
 0 & -\Phi_{11}^{xx} & -\Phi_{11}^{yz} & -\Phi_{11}^{yz} & \Phi_{11}^{xx} & 0 \\
 0 & -\Phi_{12}^{xy} & -\Phi_{11}^{xx} & \Phi_{11}^{xz} & \Phi_{11}^{xy} & \Phi_{11}^{xx}
 \end{pmatrix}$$

- Matrix elements of the Hessian are equivalent due to symmetry and only 1 displacement is needed
- If incorrect symmetries are provided, unnecessary displacements are created



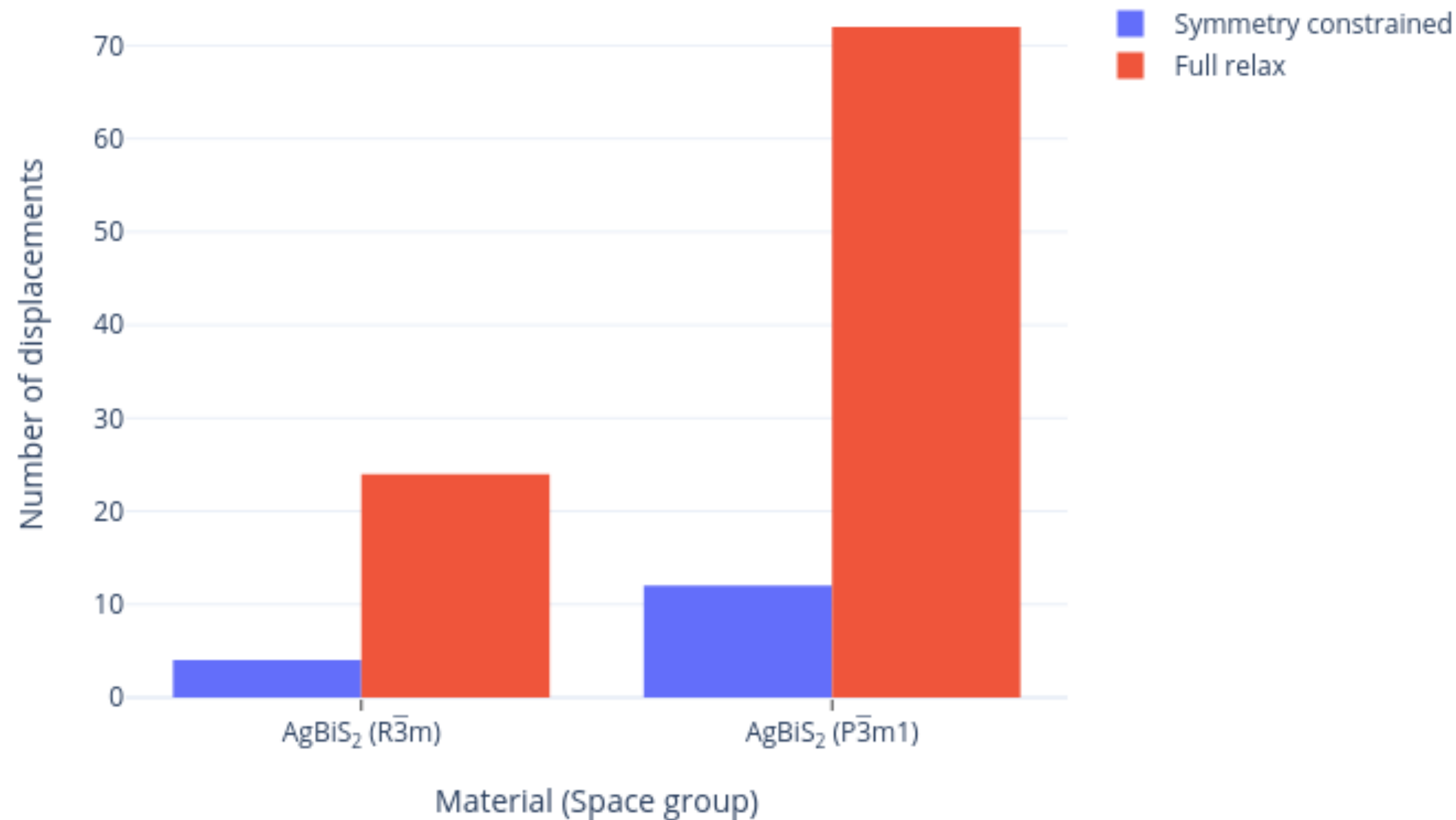
# No. of displacements for finite difference calculations

**This is determined by:**

- Number of atoms in the unit cell
- Space group symmetry
- Number of inequivalent species of atoms

# Comparing no. of displacements for materials

- Same atom types, but different number of atoms in the unit cell

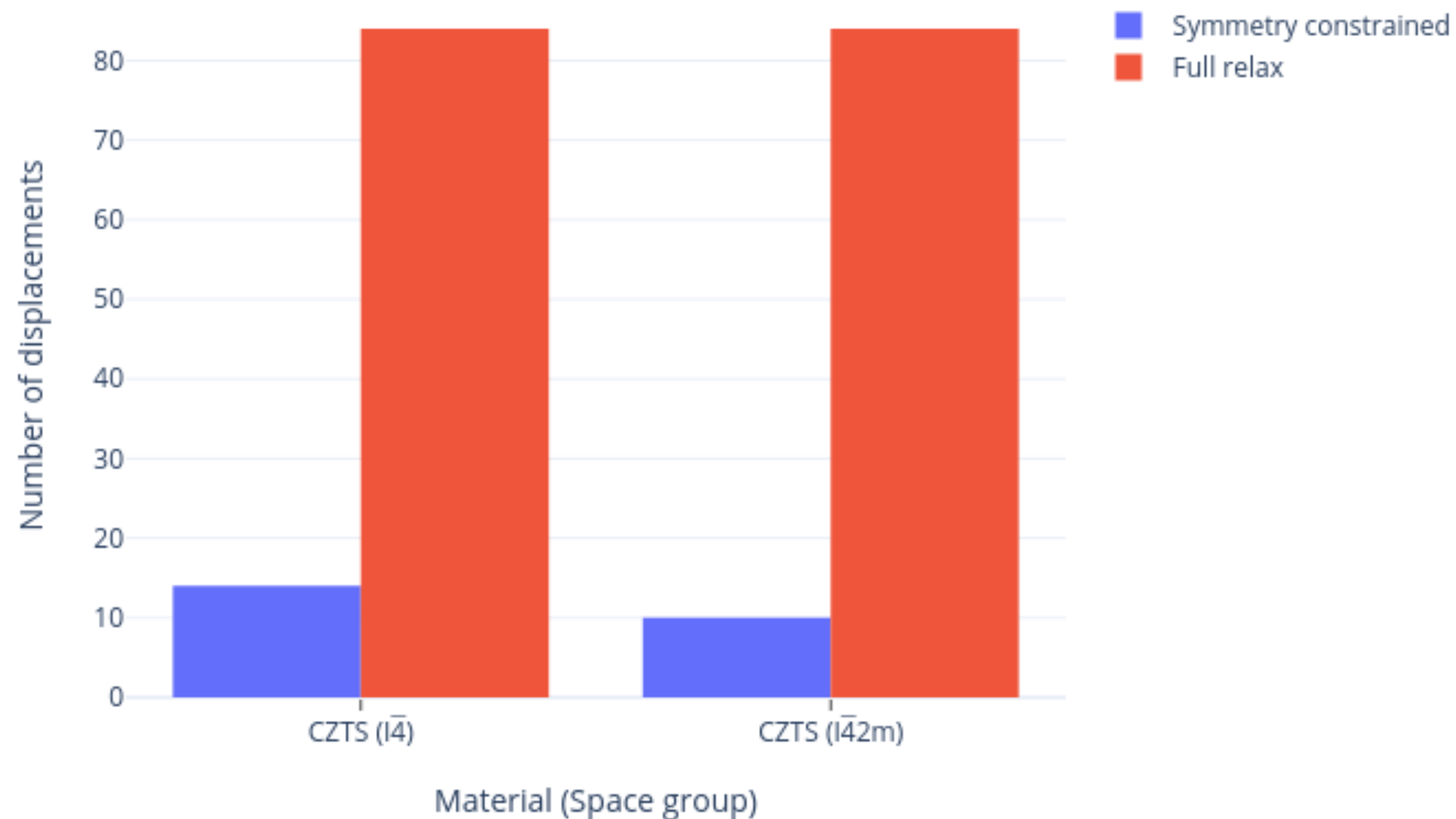


AgBiS<sub>2</sub> in  $R\bar{3}m$  has 4 atoms

AgBiS<sub>2</sub> in  $P\bar{3}m1$  has 12 atoms

# Comparing no. of displacements for materials

- Same atom types, same number of atoms and Bravais lattices, but different space groups



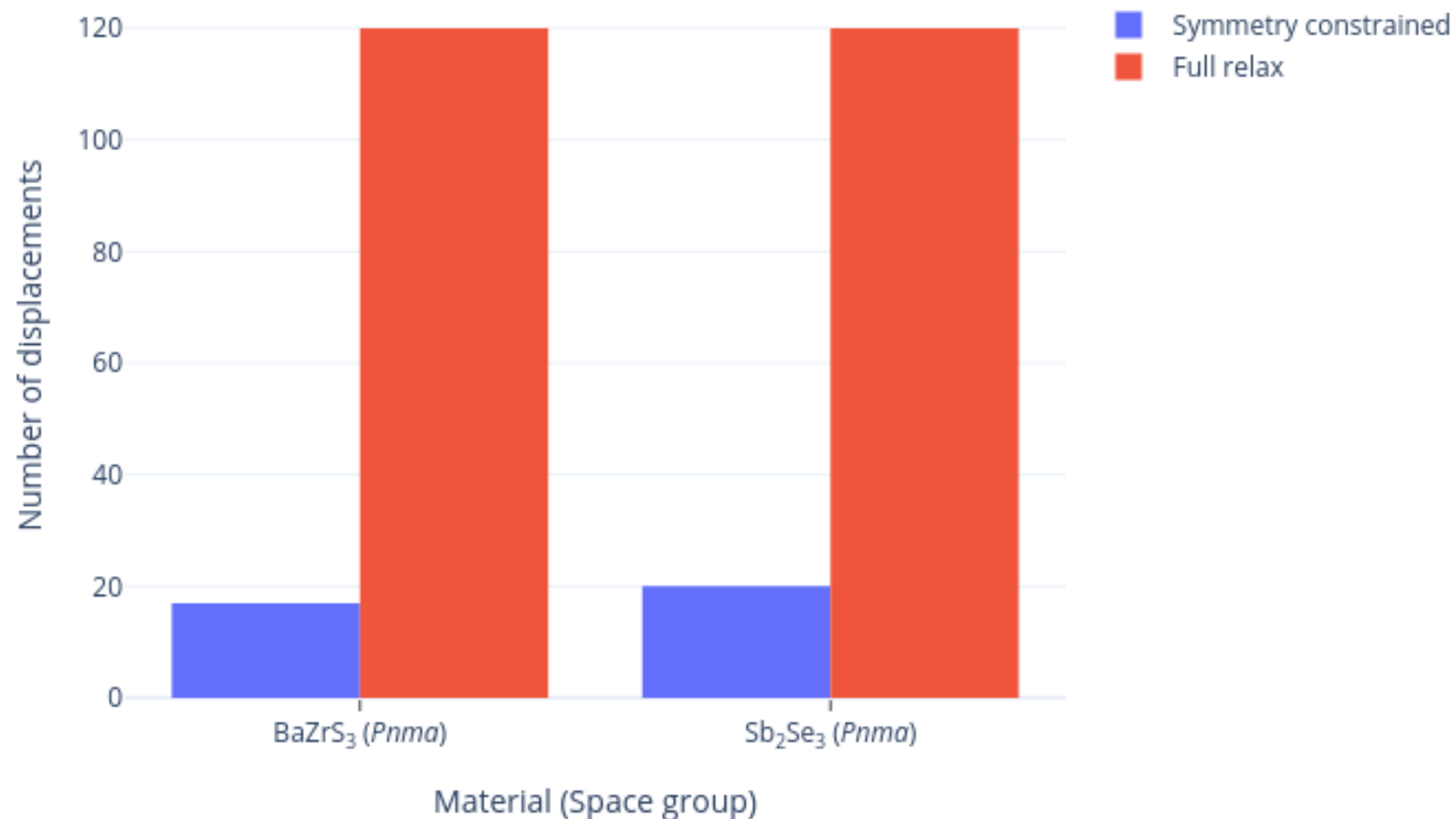
CZTS in  $I\bar{4}$

CZTS in  $I\bar{4}2m$



# Comparing number of displacements for materials

- Same space group and same number of atoms in unit cell, but inequivalent atom sites

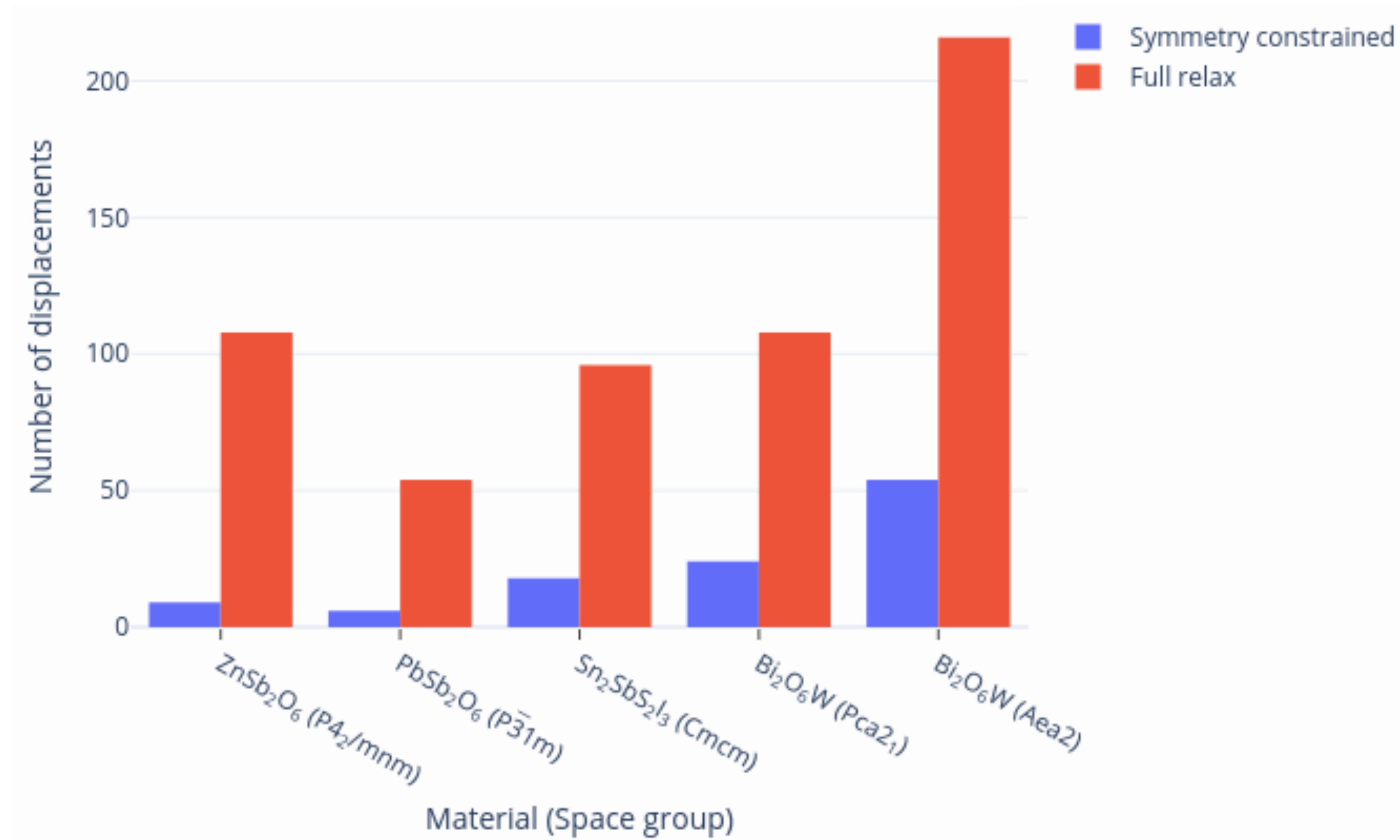


Atom (Wyckoff position)

BaZrS <sub>3</sub>	Sb <sub>2</sub> Se <sub>3</sub>
Ba (4c)	Sb (4c)
Zr (4a)	Sb (4c)
S (4c)	Se (4c)
S (8d)	Se (4c)
S (8d)	Se (4c)

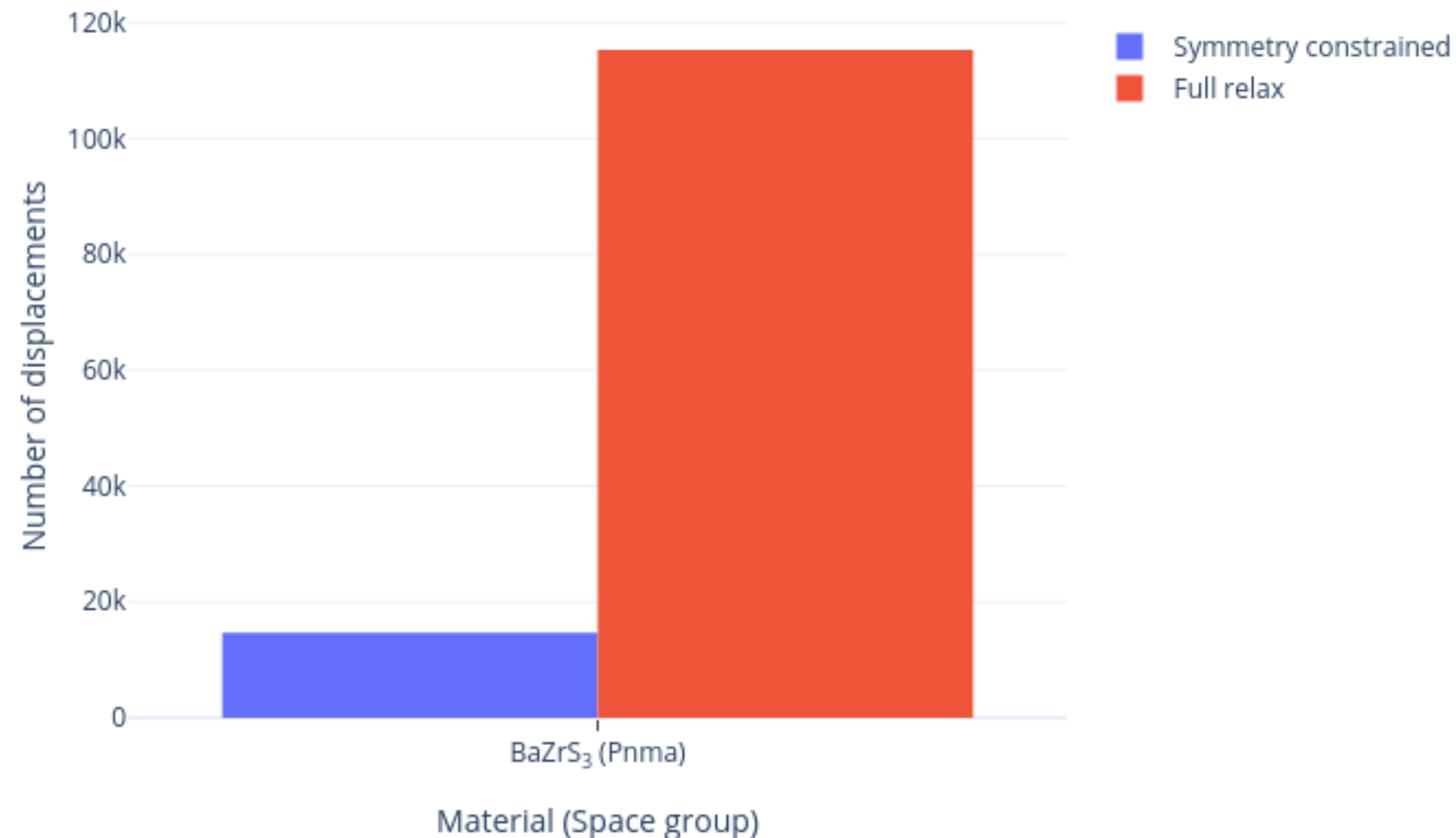
# Comparing number of displacements for materials

## More examples from popular materials



# How it affects displacements with Phono3py

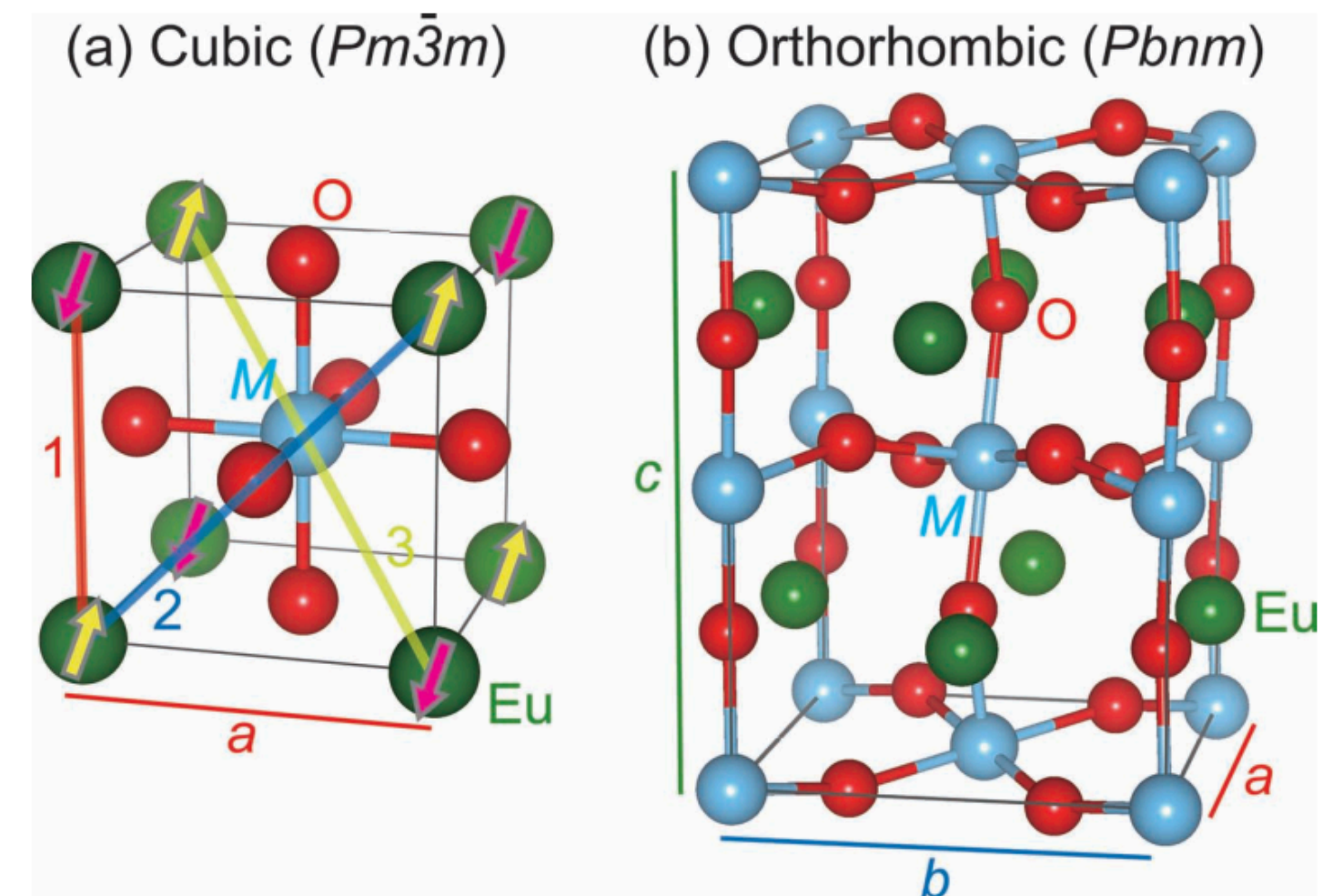
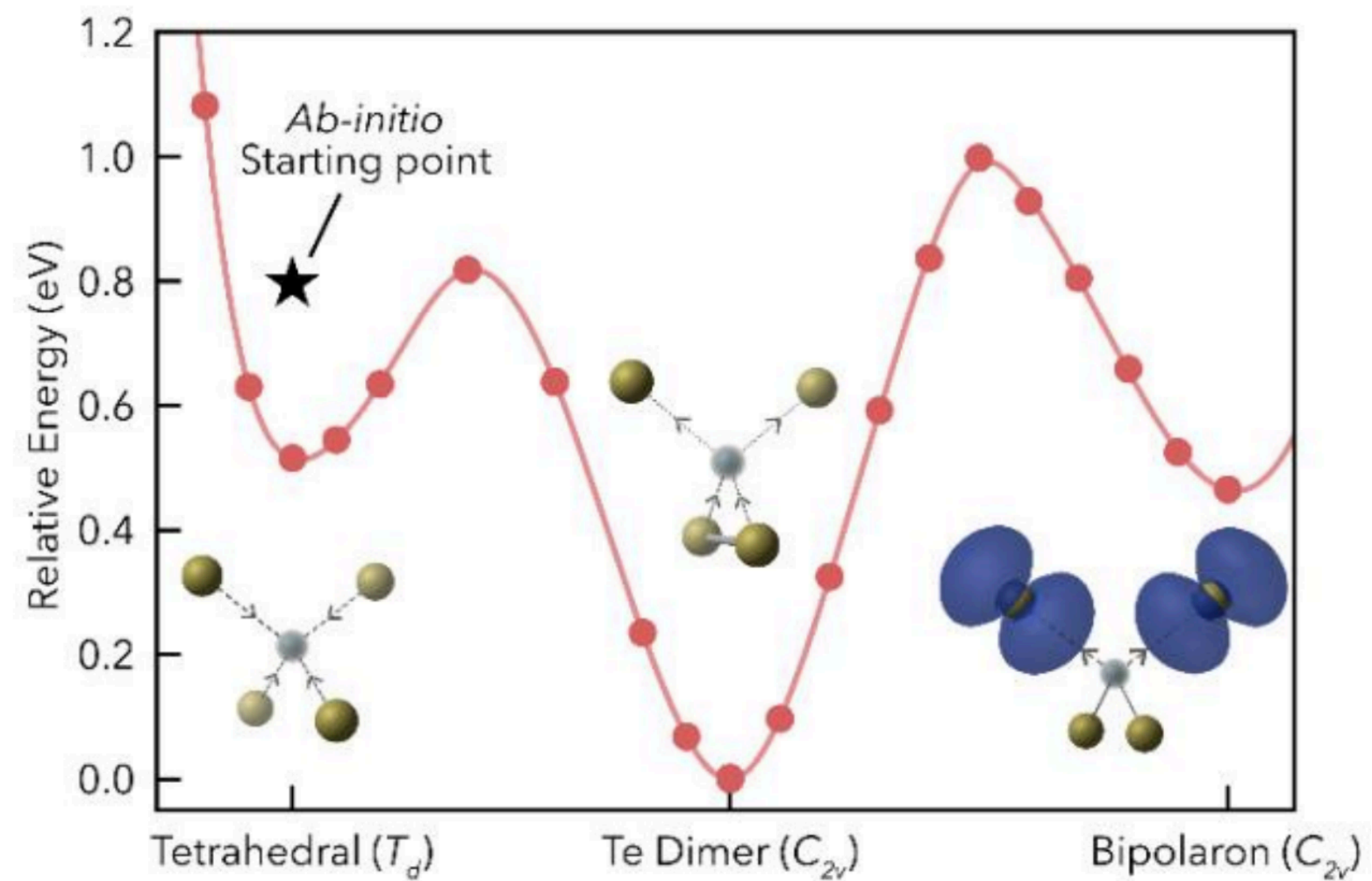
- Example from my research from the BaZrS<sub>3</sub> perovskite: 14k vs 115k displacements! Massive save on CPU time





# Where symmetry constrained relaxation is incompatible

- For some systems, the symmetry *should* be lowered during relaxation
  - Defect systems with charge localisation
  - Magnetic systems with spin-lattice coupling



# Where symmetry constrained relaxation is incompatible

- Surfaces - reconstruction mechanisms and catalysis (this *should* be easy to implement externally)
- Clusters - only particular modes are important in understanding the underlying chemistry
- Molecules - In Gaussian, the code identifies the point group of the system (within a threshold) and one can choose only to relax relevant coordinates

# Take home messages

- Proper symmetry assignment during geometry relaxation can ensure the material remains in the correct space group
- The right symmetry ensures no undesirable imaginary appear in the phonon BS
- Save CPU time:
  - Reduce the number of displacement steps compared to  $6N$  steps in harmonic Phonopy calculations
  - The difference in number of steps is even more pronounced for steps created for Phono3py calculations
- Symmetry constrained relaxation does not apply for all material science problems!



# Thank you for listening!

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